

Penalty Grid for Assessment

S.No	Discrepancies	Actionable for SSC	Penalty proposed on TP/TC	Penalty proposed (SSC)
1	Delay in conduct of assessment by TC	<p>As per the provisions of the PMKVY Guidelines, Assessor / Assessment Body is not authorised to change the scheduled assessment date in any circumstances. The rights to change the Assessment date is with SSC.</p> <p>SSC shall inform PMKVY assessment team (NSDC) at pmkvypassesments@nsdcindia.org for all such discrepancies</p>	<p>- Serve Show Cause notice to TP and TC and - Put hold on all fund disbursements to TP and TC along with batch creation for the TC till further decision by IMC</p> <p>If reply to the show cause notice is non-satisfactory (to be decided by IMC), no disbursement for the said batch shall be given and if already disbursed then the same shall be recovered and to be adjusted against future payments for the said TP</p> <p>The TP shall be responsible for completing assessment of said batch for such TC without any future payments. In case TP does not complete ongoing batches, he will be charged a penalty equivalent 100% of the amount which shall be given to TC, had the training being completed on time. Such amount is recoverable from TP and be adjusted in future payments made to TP</p>	<p>1) If SSC fails to inform PMKVY Assessment Team (NSDC) about such incidence within a period of 2 days from the date when it comes to knowledge of SSC, the SSC shall not be paid for assessment fees of batch in question</p> <p>2) If amount is already paid, then the same shall be adjusted in future payments to SSC</p>

Scenario

- 1) TC does not facilitate/ withholds the conduct of the assessment on the scheduled date after assessor reaches the training location.
- 2) TC postpones or cancels the assessment in co-ordination with Assessor/ Assessment Body

For above two scenarios penal action is applicable if the TC has failed to inform the SSC at least 7 days prior to scheduled assesment date.

Exemption to above scenario:

- 1) TC is located in the area which has been struck with natural calamity, movement prohibited as a result of governement orders and/or any other natural event outside the control of TC and;
- 2) The above event has been informed to before the scheduled assessment date.

2	Delay in conduct of assessment by assessor	1) All such instances shall be reported to the PMKVY Assessment Team (NSDC) within a period of 2 days by SSC 2) SSC shall investigate the matter and shall take appropriate action (if applicable) against Assessor or Assessment Body. 3) SSC shall submit action taken report (ATR) in all such cases to PMKVY Assessment Team	No Action on TP/TC	1) If SSC fails to submit ATR to PMKVY Assessment team (NSDC) within a period of 15 days from the date when the incident was first reported to PMKVY Assessment Team (NSDC), the SSC shall not be paid the assessment fees of batch in question 2) If amount is already paid, then the same shall be adjusted in future payments to SSC
	<p>Scenario Assessor does not conduct assessment on the scheduled date or postpones the conduct of assessment in co-ordination with TC and not obtaining requisite approvals from SSC.</p> <p>Exemption to above scenario Assessor is not able to reach Training Centre as a result of any other natural event outside its control and the same has been informed to SSC</p>			

3	<p>Unauthorised candidates found during assessment / result upload</p>	<p>1) SSC shall report the same to PMKVY Assessment Team (NSDC) within 7 days from the date when such issue comes to the knowledge of SSC 2) SSC shall sensitize assessor/assessment body to conduct assessment only for the authorized candidates.</p>	<p>- Serve Show Cause notice to TP and TC and - Put hold on all fund disbursements to TP and TC along with batch creation for the TC till further decision by IMC</p>	<p>1) If SSC fails to inform PMKVY Assessment Team (NSDC) about such incidence within a period of 2 days from the date when it comes to knowledge of SSC, the SSC shall not be paid for assessment fees of batch in question 2) If amount is already paid, then the same shall be adjusted in future payments to SSC</p>
	<p>Illustration: Unauthorised candidate means : Anyone who is not a PMKVY registered candidate. Anyone impersonating a PMKVY registered candidate</p>		<p>If IMC finds the TC guilty, TC shall be suspended for six months, with no further disbursement for ongoing batches and the disbursement already made for such batches shall be recovered and adjusted against future disbursements. The TP shall be responsible for completing any ongoing batches for the TC without any future payments. In case TP does not complete ongoing batches, he will be charged a penalty equivalent 100% of the amount which shall be given to TC, had the training being completed on time. Such amount is recoverable from TP and be adjusted in future payments made to TP</p>	

4	TC does not exist at the address provided over SDMS	<p>1) SSC shall report the matter to PMKVY Assessment Team (NSDC)</p> <p>2) However, in order to benefit the candidates who have already completed their training, Assessor/AB may conduct assessment at an alternate address at that point of time only if all the equipments/infrastructure required for assessment is available but report the incident immediately to concerned SSC</p>	<p>- Serve Show Cause notice to TP and TC and</p> <p>- Put hold on all fund disbursements to TP and TC along with batch creation for the TC till further decision by IMC</p> <p>If reply to the show cause notice is non-satisfactory (to be decided by IMC), no disbursement for the said batch shall be given and if already disbursed then the same shall be recovered and to be adjusted against future payments for the said TP</p> <p>The TP shall be responsible for completing assessment of said batch for such TC without any future payments. In case TP does not complete ongoing batches, he will be charged a penalty equivalent 100% of the amount which shall be given to TC, had the training being completed on time. Such amount is recoverable from TP and be adjusted in future payments made to TP</p>	<p>1) If SSC fails to inform PMKVY Assessment Team (NSDC) about such incidence within a period of 2 days from the date when it comes to knowledge of SSC, the SSC shall not be paid for assessment fees of batch in question</p> <p>2) If amount is already paid, then the same shall be adjusted in future payments to SSC</p>
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	<p>Scenario: 1) Assessor reaches the address as mentioned over SDMS and TC doesn't exist at that address 2) Assessor reaches the location and finds that address provided in SDMS does not exist 3) 'TP/TC forces assessor to conduct assessment on an address other than the one registered on SDMS for the TC in subject</p>			
5	<p>Training Centre indulges in unethical practices</p>	<p>SSC shall report the matter to PMKVY Assessment Team (NSDC) with material evidences only in the form of pic, video or recording of verbal communication</p>	<p>- Serve Show Cause notice to TP and TC and - Put hold on all fund disbursements to TP and TC along with batch creation for the TC till further decision by IMC</p>	

	<p>Illustration: Unethical practice means offerings in cash or in kind to the assessor, with an intention to influence the outcome of assessment</p>		<p>If IMC finds the TC guilty, TC shall be suspended for six months, with no further disbursement for ongoing batches and the disbursement already made for such batches shall be recovered and adjusted against future disbursements.</p> <p>The TP shall be responsible for completing any ongoing batches for such TC without any future payments. In case TP does not complete ongoing batches, he will be charged a penalty equivalent 100% of the amount which shall be given to TC, had the training being completed on time. Such amount is recoverable from TP and be adjusted in future payments made to TP</p>	
6	<p>Discrepancies reported by PMKVY Assessment Team (NSDC) to SSC</p>	<p>1) SSC to investigate such cases reported by PMKVY Assessment Team (NSDC) and penalize the reported Assessor / Assessment Body as per their pre designed criteria 2) SSC to investigate such cases within a period of 15 days from the date when it brought to the knowledge of SSC and report action taken against assessor/AB to the assessment team</p>		<p>1) If SSC fails to submit ATR to PMKVY Assessment Team (NSDC) assessment team within a period of 15 days from the date when such issue is reported to SSC, the SSC shall not be paid for assessment fees of batch where discrepancies were noticed by PMKVY Assessment Team (NSDC) 2) If amount is already paid, then the same shall be adjusted in future payments to SSC</p>

	<p>Scenario: There are certain cases which come to notice of PMKVY Assessment Team (NSDC) through various channels such as media, candidates, proactive monitoring by PMKVY monitoring team and other stakeholders. The same shall be reported to respective SSCs. The cases may include presence of unauthorized candidates, undue demand in cash or kind by assessor for influencing assessment results or other issues related to assessment/assessors</p>			
7	Monitoring of assessments by SSC	<p>1) SSCs shall perform monitoring as per their pre-decided criteria to be shared with PMKVY assessment team and submit ATR at the end of last working day of every month to the PMKVY Assessment team (NSDC)</p> <p>2) SSCs shall perform proactive monitoring (performing visits) and reactive monitoring (investigation of historical data which may include cases reported by NSDC)</p>		<p>A) In case, SSC fails to perform monitoring of mandated batches:</p> <p>1) SSC shall not be paid assessment fees of number of batches for which SSCs fails to monitor (for e.g. If SSC is mandated to perform monitoring on 30 batches and SSC monitors 20 batches, they will not be paid for maximum assessment fees allowed for 10 batches.</p> <p>2) All such amount shall be adjusted against future payments to be made to SSC</p>
		<p>In this, SSC shall specify number of batches for proactive monitoring and reactive monitoring separately that they will monitor in the coming months</p>		<p>B) If SSC fails to submit ATR on last working day of month:</p> <p>1) They will not be paid equivalent to assessment fees of 10% of the batches which is mandated to be monitored that month.</p> <p>2) An extension of 5 working days shall be given to SSC to submit ATR and after that it shall be assumed that no monitoring has been done in previous month by SSC and penalty shall be levied as per provision of A)</p> <p>3) All such amount shall be adjusted against future payments to SSC</p>